



IWL: NOI: 118: 2021

09th June, 2021

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai 400 001	The Secretary National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Bandra (E) Mumbai 400 051
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Scrip code: 539083

Scrip code: INOXWIND

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March, 2021

Dear Sir/ Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, as amended, please find enclosed herewith Annual Secretarial Compliance Report dated 07th June, 2021 of the Company for the Financial Year ended 31st March, 2021 issued by M/s. J.K. Gupta & Associates, Practicing Company Secretaries, Delhi.

You are requested to take the same on record.

Thanking you,

Yours faithfully,
For **Inox Wind Limited**

Deepak Banga
Company Secretary

Encl: a/a

J. K. Gupta & Associates

(Company Secretaries)

256 & 257, Vardhman City Center 2,
Near Shakti Nagar Railway Under Bridge,
Gulabi Bagh, Delhi- 110052

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SECRETARIAL COMPLIANCE REPORT OF INOX WIND LIMITED

(CIN: L31901HP2009PLC031083)

FOR THE YEAR ENDED MARCH 31ST, 2021

We **J. K. Gupta & Associates** having our office at 257, Vardhman City Center, Near Shakti Nagar Railway Under Bridge, Gulabi Bagh, New Delhi- 110052 have examined:

- all the documents and records made available to us and explanation provided by **INOX WIND LIMITED** ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March 2021 ("Review Period") in respect of compliance with the provisions of:

- The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; [**Not applicable to the Company during the Audit Period**]
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 [**Not applicable to the Company during the Audit Period**]
- Securities and Exchange Board of India (Share based Employee benefits) Regulations, 2014 [**Not applicable to the Company during the Audit Period**]



f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; [Not applicable to the Company during the Audit Period]

h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding dealing with client;

and circulars/ guidelines issued thereunder;

And based on the above examination, we hereby report that, during the Review Period:

(a) The Listed Entity has a delay of one day in submission of listing application of 9.5% Rated, Listed, Secured, Redeemable, Non-Convertible Debenture (ISIN: INE066P07018) with the Stock Exchange (BSE Limited). The Securities and Exchange Board of India has issued a letter no, SEBI/HO/DDHS/OW/P/2020/21834/1 dated 15/12/2020 to the entity stating the fact that the listed entity has not listed mentioned securities within prescribed timelines and advised the listed entity to maintain future timelines. Further listed entity has directed by the Stock Exchange and Securities and Exchange Board of India to pay a penal interest to the investors in terms of clause 4(a)(ii) of SEBI Circular no. SEBI/HO/MIRSD/DOS3/ CIR/P/2019/68, dated, 27th May, 2019.

In response to the same, listed entity has paid the penal interest to its investors and intimated the same to its Debenture Trustee, Catalyst Trusteeship Limited vide its letter dated 31/12/2020.

(b) The listed entity has complied with all the provisions of the above Regulations and circulars/ guidelines issued thereunder.

(c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.

(d) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

(e) The listed entity has taken the following actions to comply with the observations made in previous reports: No previous observations exist.



We have conducted online verification and Examination of records, as facilitated by the Company, due to Covid 19 and subsequent lockdown situation in Delhi and Noida for the purpose of issuing this report.

Place: Delhi
Date: 07.06.2021

For and on behalf of
J. K. Gupta & Associates

J. K. Gupta



Jitesh Gupta
(Company Secretary)
FCS No.: 3978
CP No.: 2448

UDIN: F003978C000427566